



# IRA Distribution Form

This form may be used to take a distribution from your Baron Capital® IRA, SEP IRA, Roth IRA, SIMPLE IRA, Coverdell Education Savings account. Mandatory distributions out of your retirement account should be completed through the Required Minimum Distribution Form (RMD). If you are a beneficiary looking to take a distribution due to the death of an IRA account owner, please complete the Inherited IRA Beneficiary Re-Registration Form.

Please complete all sections and mail this form to the address at the bottom of page 2.

## 1. Account Information (Please print.)

Account Owner(s) Name \_\_\_\_\_

Address of Record \_\_\_\_\_

Primary Phone Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

List only the account(s) from which you would like the distributions taken.

Fund Name/Number	Account Number	Distribution Amount

## 2. Type of Distribution

- Normal Distribution:** I am age 59 1/2 or older
  - Premature Distribution:** I am under the age of 59 1/2 and taking a premature distribution. I will generally be subject to an IRA assessed penalty tax on my distribution in addition to ordinary income taxes.
  - Premature Exempt Distribution** (as designated under IRS Rule 72t)
  - Coverdell Education Savings Account Distribution:** (distributions not used for qualified education expenses may be subject to a penalty)
  - SIMPLE IRA Distribution:** (if taken within 24 months of contribution, may be subject to a penalty)
- Excess Contribution:** Please remove excess contributions of \$\_\_\_\_\_ made for tax year \_\_\_\_\_. To avoid penalty tax, excess contributions and earnings, if any, must be withdrawn before the due date (including Extensions) of your federal income tax return for the tax year of the excess contribution. (See IRS Publication 590 for more information.)

**Note:** Please contact your tax advisor for information on how to properly report your distribution. Baron Capital® is not required to report the reason for each type of premature distribution.

## 3. Distribution Amount

Select only ONE below:

- Partial distribution (specific amount) \$\_\_\_\_\_\*
- Total Distribution

\* A Medallion Signature Guarantee is required in Step 7 if you request a distribution for more than \$100,000.

## 4. Method of Payment

- Send my distribution check to my address of record.\*
- Send my distribution check to an address other than my address of record.\*

Payee Name \_\_\_\_\_

Street Address or P.O. Box \_\_\_\_\_

City, State, Zip \_\_\_\_\_

\* A Medallion Signature Guarantee is required in Step 7 if you request a distribution to be sent to an address other than the address of record, the check is not made payable to the registered owner or the address of record has been changed within the last 30 days.

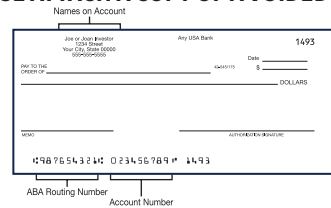
- Invest my distribution into my existing non-retirement account with Baron Capital®:

Fund Name/Number	Account Number	Percent Distribution

**TOTAL 100%**

- Send my distribution proceeds to my bank. (In order for us to send the proceeds to your bank please select one of the following methods. If bank instructions are not already on file, **please attach a pre-printed, voided check** and provide a Medallion Signature Guarantee in Step 7.)
  - ACH (requires up to three business days, at no charge)
  - Fed Wire (next day, for an additional charge of \$10, deducted directly from the distribution proceeds)

### PLEASE ATTACH A COPY OF A VOIDED CHECK



- Checking
- Savings

Bank's Name \_\_\_\_\_

Bank Routing Number \_\_\_\_\_ Account Number \_\_\_\_\_

Account Registration \_\_\_\_\_

\* A Medallion Signature Guarantee is required in Step 7 if the above bank instructions are different than the bank of record.

## 5. Withholding Instructions

The law requires the federal income tax be withheld from your IRA distributions at a rate of 10% unless you elect otherwise. If you do not check a box, 10% will be withheld. (Does not pertain to Coverdell and Roth IRA distributions)

- I elect to have taxes withheld from my IRA distribution (10% withholding not available on dividend distributions.)
- I elect to have \_\_\_\_\_% taxes withheld from any IRA distribution, (cannot be less than 10%)
- I elect not to have federal tax withheld from my distributions. I understand that I may be liable for payment of estimated tax. I may incur penalties under the estimated tax rule if my withholding and tax payments are not sufficient.

## 6. Foreign Payment Instructions

Payments to an address outside the United States are subject to special rules. U.S. citizens receiving payments outside the United States may not waive withholding. Withholding for foreign citizens is subject to tax treaty rules. (See IRS Publication 515 for more information).

Certification:

- I hereby certify that I am a United States citizen living abroad. I understand that payments sent to me abroad are subject to 10% tax withholding and cannot be waived.
- I hereby certify that I am a foreign citizen. I am attaching Form W-8BEN to certify my status and comply with IRS rules. I understand that withholding, if applicable, will be applied to my distribution payments based on my certification or lack thereof.

## 7. Authorization

I hereby authorize the distribution from the IRA to the undersigned and certify that it is in accordance with the provisions of the IRA plan. If I am over 73, I accept full responsibility for withdrawing from my IRA the minimum amount required. I indemnify the Custodian for Baron Capital®, its agents, successors and affiliates from any and all claims the undersigned may have or hereafter claim to have with respect to the distribution or in the event I fail to meet the minimum distribution requirements.

A Medallion Signature Guarantee assures that a signature is genuine and protects investors from unauthorized requests. A Medallion Signature Guarantee may be obtained from an officer of a commercial bank or trust company, savings and loan or savings bank, or a member firm of a domestic stock exchange. Notarization by a notary public is not acceptable.

Account Owner's Signature and

Date

Title (if acting on behalf of the Account Owner)

Affix Medallion Signature Guarantee stamp.

Please return the completed form to the address below:

**Regular Mail:**

Baron Capital®  
P.O. Box 219946  
Kansas City, MO 64121-9946

**Overnight Mail:**

Baron Capital®  
801 Pennsylvania Ave, Suite 219946  
Kansas City, MO 64105-1307

If you have any questions or to ensure that all legal requirements are met, please call Shareholder Services at (800) 442-3814.